



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. SNX-028

COPY OF PAPERS
ORIGINALLY FILED

APPLICANT: Erik W. Rasmussen
SERIAL NO.: 09/927,771
FILING DATE: August 10, 2001
TITLE: SOUND PROCESSING SYSTEM INCLUDING FORWARD
FILTER THAT EXHIBITS ARBITRARY DIRECTIVITY AND
GRADIENT RESPONSE IN SINGLE WAVE SOUND
ENVIRONMENT
EXAMINER: [Not yet assigned]
ART UNIT: 2644

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class
Mail in an envelope addressed to: Box MISSING PARTS, Commissioner for Patents, Washington,
DC 20231, on the date printed below:

Date: 11/12/01

Name: Danette Lindstrom
Danette Lindstrom

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Responsive to the Notice to File Missing Parts dated 9/19/01, please find the
following:

1. Declaration & Power of Attorney executed by the inventor;
2. Check in the amount of \$3,768 for filing fee and surcharge for assignee as a

small entity calculated as follows:

Basic filing fee - Fee Code 201	\$ 355.00
63 additional independent claims - Fee Code 202	\$ 2,520.00
92 additional claims in excess of twenty - Fee Code 203	\$ 828.00



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Surcharge - Fee Code 205

\$ 65.00

Total

\$ 3,768.00

3. Copy of Notice to File Missing Parts.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698. A duplicate of this transmittal page is enclosed.

Respectfully submitted,
THELEN REID & PRIEST LLP

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ORIGINALLY FILED**

Dated: November 9, 2001

David B. Ritchie
Reg. No. 31,562

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,771	08/10/2001	Erik W. Rasmussen	SNX-028

CONFIRMATION NO. 5620

FORMALITIES LETTER



OC000000006580172

David B. Ritchie
Thelen Reid & Priest LLP
P.O. Box 640640
San Jose, CA 95164

Date Mailed: 09/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3348.
 - \$828 for 92 total claims over 20.
 - \$2520 for 63 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3768.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/927771 09/927771 370.00 00 370.00 00
928.00 00 928.00 00
2570.00 00 2570.00 00
76.00 CH 76.00 CH
65.00 CH 65.00 CH
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